



#7/a
10/18/03

PATENT
Attorney Docket No. 052144-5001

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Steven A. LaPierre)	
)	
Application No.: 09/679,813)	Confirmation No.: 8794
)	
Filed: October 5, 2000)	Group Art Unit: 3624
)	
For: SYSTEM AND METHOD FOR)	Examiner: Sandra S. Snapp
ELECTRONIC TRADING OF)	
ASSETS)	

Commissioner for Patents
U.S. Patent and Trademark Office
2011 South Clark Place
Customer Window
Crystal Plaza Two, Lobby, Room 1B03
Arlington, VA 22202

Sir:

AMENDMENT

RECEIVED
OCT 24 2003
GROUP 3600

In response to the Office Action dated April 17, 2003, the period for response to which extending through July 17, 2003, Applicant respectfully petitions for a Three-Month Extension of Time, extending the response period to October 17, 2003, and respectfully requests reconsideration of this application and allowance of all pending claims.

Amendments to the claims are reflected in the listing of claims which begins on page 2 of this paper.

Remarks begin on page 8 of this paper.

3524
\$



PATENT
Attorney Docket No. 052144-5001

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Steven A. LaPierre)	
)	
Application No.: 09/679,813)	Confirmation No.: 8794
)	
Filed: October 5, 2000)	Group Art Unit: 3624
)	
For: SYSTEM AND METHOD FOR)	Examiner: Sandra S. Snapp
ELECTRONIC TRADING OF)	
ASSETS)	

Commissioner for Patents
U.S. Patent and Trademark Office
2011 South Clark Place
Customer Window
Crystal Plaza Two, Lobby, Room 1B03
Arlington, VA 22202

Sir:

AMENDMENT TRANSMITTAL FORM

1. Transmitted herewith is an Amendment in response to the Office Action dated April 17, 2003.
2. Additional papers enclosed.

- ☐ Drawings: ☐ Formal ☐ Informal (Corrections)
- ☐ Information Disclosure Statement
- ☐ Form PTO-1449, one reference included
- ☐ Change of Correspondence Address
- ☐ Change of Attorney Docket Number

RECEIVED
OCT 24 2003

GROUP 3600

10/23/2003 CNGUYEN 00000001 500310 09679813

01 FC:2253 475.00 DA

3. Extension of Time

The proceedings herein are for a patent application and the provisions of 37 CFR 1.136(a) apply.

☐ Applicants believe that no extension of time is required. However, this conditional petition is being made to provide for the possibility that applicant has inadvertently overlooked the need for a petition and fee for extension of time.

☒ Applicant petitions for an extension of time, the fees for which are set out in 37 CFR 1.17(a)-(d), for the total number of months checked below:

<u>Total Months Requested</u>	<u>Fee for Extension</u>	<u>[Fee for Small Entity]</u>
<input type="checkbox"/> one month	\$ 110.00	\$ 55.00
<input type="checkbox"/> two months	\$ 420.00	\$210.00
<input checked="" type="checkbox"/> three months	\$ 950.00	\$475.00
<input type="checkbox"/> four months	\$1,480.00	\$740.00

If an additional extension of time is required, please consider this a Petition therefor.

☐ An extension for ___ months has already been secured and the fee paid therefor of \$_____ is deducted from the total fee due for the total months of extension now requested.

4. Constructive Petition

☒ **EXCEPT** for issue fees payable under 37 C.F.R. § 1.18, the Commissioner is hereby authorized by this paper to charge any additional fees during the entire pendency of this application including fees due under 37 C.F.R. §§ 1.16 and 1.17 which may be required, including any required extension of time fees, or credit any overpayment to Deposit Account 50-0310. This paragraph is intended to be a **CONSTRUCTIVE PETITION FOR EXTENSION OF TIME** in accordance with 37 C.F.R. § 1.136(a)(3).

5. Fee Calculation (37 C.F.R. §1.16)

CLAIMS AS AMENDED						
	Claims Remaining After Amendment		Highest No. Previously Paid	Present Extra	at Rate of	Total Fees
Total Claims (37 C.F.R. §1.16(c))	19	Minus	20	0	x \$18 each=	+ \$ 0.00
Independent Claims (37 C.F.R. §1.16(b))	3	Minus	3	0	x \$89 each=	+ \$ 0.00
<input type="checkbox"/> First presentation of Multiple dependent claim(s)					\$290.00	+ \$
SUB-TOTAL =						\$ 0.00
Reduction by 1/2 for filing by a small entity-						\$
TOTAL FEE =						\$ 0.00

6. Fee Payment

☐ No fee is to be paid at this time.

☒ Please charge \$ 475.00 for the Extension of Time fee to our Deposit Account No. 50-0310.

☒ The Commissioner is hereby authorized to charge any fees including fees due under 37 CFR §1.16 and §1.17 which may be required, or credit any overpayment to Deposit Account 50-0310.

Respectfully submitted,

MORGAN, LEWIS & BOCKIUS LLP

Dated: October 17, 2003

By: Arlene P. Neal
Arlene P. Neal
Reg. No. 43,828

CUSTOMER NO.: 009629
MORGAN, LEWIS & BOCKIUS LLP
1111 Pennsylvania Avenue, N.W.
Washington, D.C. 20004
Telephone: 202-739-3000
Facsimile: 202-739-3001